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PATENT

Attorney Docket No.: 2307O-086121US

Assistant Commissioner for Patents

Washington, D.C. 20231

on March 19,2001

TOWNSEND and TOWNSEND and CREW LLP

By: Jay M. Marchall

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Examiner:

Not yet assigned

Fischer et. al.

Art Unit:

Not yet assigned

PRELIMINARY AMENDMENT

Application No.: Attached herewith

Filed: March 19, 2001

For: NUCLEIC ACIDS THAT CONTROL SEED AND FRUIT DEVELOPMENT IN PLANTS

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The application accompanying this Amendment is a continuation of U.S. Patent Application No. 09/177,249, under examination of Examiner A. Mehta of Art Unit 1638. Prior to examination of the above-referenced application, please enter the following amendments and remarks.

# IN THE CLAIMS:

Please cancel claims 3 and 16.

Please amend claims 1, 2, 6, 8, 9, 11, 14, 15, 17 and 19 as follows.

Please add claims 22-24 as follows.

- (Amended) An isolated double-stranded nucleic acid molecule comprising a FIE polynucleotide encoding a polypeptide at least 60% identical to SEQ ID NO:4.
- (Amended) The isolated nucleic acid molecule of claim 1, wherein the FIE
  polynucleotide is at least about 100 nucleotides in length.

- (Amended) The isolated nucleic acid molecule of claim 5, wherein the plant promoter is from a FIE3 gene.
- (Amended) The isolated nucleic acid molecule of claim 1, wherein the polypeptide is SEQ ID NO:4.
- (Amended) A transgenic plant comprising an expression cassette containing a plant promoter operably linked to the polynucleotide of claim 1, wherein the polynucleotide is heterologous to the plant promoter or the plant.
- (Amended) The transgenic plant of claim 10, wherein the polypeptide is as shown in SEO ID NO;4.
- 14. (Amended) The transgenic plant of claim 13, wherein the  $\it FIE$  gene is as shown in SEQ ID NO:3.
- 15. (Amended) A method of modulating endosperm development in a plant, the method comprising introducing into the plant an expression cassette containing a plant promoter operably linked to the polynucleotide of claim 1, wherein the polynucleotide is heterologous to the plant promoter or the plant.
- (Amended) The method of claim 15, wherein the polypeptide has an amino acid sequence as shown in SEQ ID NO:4.
- (Amended) The method of claim 15, wherein the heterologous FIE polynucleotide is SEQ ID NO:3.
- (New) The isolated nucleic acid molecule of claim 1, wherein the polypeptide is at least 80% identical to SEQ ID NO:4.
- (New) The transgenic plant of claim 9, wherein the polypeptide is at least 80% identical to SEQ ID NO:4.
- (New) The method of claim 15, wherein the polypeptide is at least 80% identical to SEQ ID NO:4.

#### REMARKS

# 1. Status of the Claims

In this Amendment, claims are 1, 2, 6, 8, 9, 11, 14, 15, 17 and 19 are amended and claims 22-24 are added. In addition, claims 3 and 16 are canceled. Therefore claims 1-2, 4-15 and 17-24 are pending and under consideration with entry of this Amendment.

A marked up copy of amended claims 1, 6, 8, 9, 11, 14, 15, 17 and 19 is provided as an appendix entitled "MARKED UP COPY OF CLAIMS." As a convenience to the Examiner, a complete set of the claims, as amended herein, is also attached to this Amendment as an appendix.

# 2. Support for the Amendments

Support for the amendments to the claims can be found throughout the specification, the drawings, and the claims as originally drafted. Support for claims 1 and 22-24 can be found, e.g., on page 5, lines 20-23 of the specification. Support for claims 9 and 15 can be found, e.g., on page 3, lines 13-18. No new matter is introduced by this Amendment.

#### CONCLUSION

In view of the foregoing, Applicants believe all claims now pending in this Application are in condition for allowance. The issuance of a formal Notice of Allowance at an early date is respectfully requested.

If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone the undersigned at 415-576-0200.

Respectfully submitted

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# MARKED UP COPY OF CLAIMS

- (Amended) An isolated <u>double-stranded</u> nucleic acid molecule comprising a FIE polynucleotide <u>encoding a polyneptide at least 60% identical to SEQ ID NO:4</u> [sequence, which polynucleotide sequence specifically hybridizes to SEQ ID NO:1 or SEQ ID NO:3 under stringent conditions].
- (Amended) The isolated nucleic acid molecule of claim 1, wherein the FIE
  polynucleotide is [about] at least about 100 nucleotides in length.
- (Amended) The isolated nucleic acid molecule of claim 5, wherein the plant promoter is from a [FIE1] FIE3 gene.
- 8. (Amended) The [An] isolated nucleic acid molecule of claim 1, wherein the polypeptide is SEQ ID NO:4 [comprising a FIE polynucleotide sequence, which polynucleotide sequence encodes a polypeptide as shown in SEQ ID NO:2 or SEQ ID NO:4].
- 9. (Amended) A [a] transgenic plant comprising an expression cassette containing a plant promoter operably linked to the polynucleotide of claim 1, wherein the polynucleotide is heterologous to the plant promoter or the plant [a heterologous FIE polynucleotide of claim 1].
- (Amended) The transgenic plant of claim 10, wherein the [FIE] polypeptide is as shown in [SEQ ID NO:2 or] SEQ ID NO:4.
- (Amended) The transgenic plant of claim 13, wherein the FIE gene is as shown in [SEQ ID NO:1 or] SEQ ID NO:3.
- 15. (Amended) A method of modulating endosperm development in a plant, the method comprising introducing into the plant an expression cassette containing a plant promoter operably linked to the polynucleotide of claim 1, wherein the polynucleotide is heterologous to the plant promoter or the plant [a heterologous FIE polynucleotide].
- (Amended) The method of claim 15 [16], wherein the [FIE] polypeptide
  has an amino acid sequence as shown in [SEQ ID NO:2 or] SEO ID NO:4.

  (Amended) The method of claim 15, wherein the heterologous FIE polynucleotide is [SEQ ID NO:1 or] SEQ ID NO:3.

### Appendix

# Pending Claims With Entry Of Amendment

- An isolated double-stranded nucleic acid molecule comprising a FIE
  polynucleotide encoding a polypeptide at least 60% identical to SEQ ID NO:4.
- The isolated nucleic acid molecule of claim 1, wherein the FIE polynucleotide is at least about 100 nucleotides in length.
- The isolated nucleic acid molecule of claim 1, wherein the FIE polynucleotide is SEQ ID NO:3.
- The isolated nucleic acid molecule of claim 1, further comprising a plant promoter operably linked to the FIE polynucleotide.
- 6. The isolated nucleic acid molecule of claim 5, wherein the plant promoter is from a FIE3 gene.
- 7. The isolated nucleic acid of claim 6, wherein the FIE polynucleotide is linked to the promoter in an antisense orientation.
- The isolated nucleic acid molecule of claim 1, wherein the polypeptide is SEO ID NO:4.
- A transgenic plant comprising an expression cassette containing a plant promoter operably linked to the polynucleotide of claim 1, wherein the polynucleotide is heterologous to the plant promoter or the plant.
- The transgenic plant of claim 9, wherein the heterologous FIE polynucleotide encodes a FIE polypeptide.
- The transgenic plant of claim 10, wherein the polypeptide is as shown in SEQ ID NO:4.

- 12. The transgenic plant of claim 9, wherein the heterologous *FIE* polynucleotide is linked to the promoter in an antisense orientation.
- $13. \qquad \text{The transgenic plant of claim 9, wherein the plant promoter is from a \it{FIE}} \\ \text{gene.}$
- $14. \hspace{1.5cm} \text{The transgenic plant of claim 13, wherein the \it{FIE} gene is as shown in SEQ ID NO:3.}$
- 15. A method of modulating endosperm development in a plant, the method comprising introducing into the plant an expression cassette containing a plant promoter operably linked to the polynucleotide of claim 1, wherein the polynucleotide is heterologous to the plant promoter or the plant.
- The method of claim 15, wherein the polypeptide has an amino acid sequence as shown in SEO ID NO:4.
- The method of claim 15, wherein the heterologous FIE polynucleotide is linked to the promoter in an antisense orientation.
- $19. \qquad \hbox{The method of claim 15, wherein the heterologous \it FIE polynucleotide is SEQ ID NO:3}.$ 
  - 20. The method of claim 15, wherein the plant promoter is from a FIE gene.
- The method of claim 15, wherein the expression cassette is introduced into the plant through a sexual cross.
- The isolated nucleic acid molecule of claim 1, wherein the polypeptide is at least 80% identical to SEQ ID NO:4.
- The transgenic plant of claim 9, wherein the polypeptide is at least 80% identical to SEQ ID NO:4.

 ${\bf 24.} \qquad {\bf The \ method \ of \ claim \ 15, \ wherein \ the \ polypeptide \ is \ at \ least \ 80\% \ identical }$  to SEQ ID NO:4.

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